# Global Perspective on the Plane-parallel Nature of Oceanic Water Clouds Using Data Synergy From MISR and MODIS

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#### Application of the plane-parallel assumption

The plane-parallel assumption is ubiquitously used for solving the inverse and forward radiative transfer problems of clouds.

Clouds are assumed to be plane-parallel homogeneous in the horizontal direction and even in the vertical direction.

The assumption makes the inverse problems solvable and the forward radiative transfer calculation fast.

## problems of using the plane-parallel assumption in the forward radiative transfer calculations

#### At the scale comparable to the GCM-grid:

Grid cloud albedo calculated from the averaged cloud properties is biased high as compared to the averaged albedo calculated from independent pixels.

(e.g.,  $\Delta\beta$ =0.02-0.3, derived with cloud properties retrieved from AVHRR by *Oreopoulos and Davies (1998)* and  $\Delta\beta$ =~0.03, derived with cloud properties retrieved from MODIS by *Oreopoulos et al. (2007)*).

#### At the smaller scales:

Radiative transfer model simulations show that the domain averaged albedo/flux biases range from the marginal to severe, depending on which cloud fields are examined and the assumptions used in the simulations, e.g., cloud resolution, domain size, SZA. (Cahalan, 1994; Barker, 1996; Fu et al., 2000; Zuidema and Evans, 1998; O'Hirok and Gautier 1998; Cole et al., 2005; Kato et al., 2006).

## problems of using the plane-parallel assumption in the retrieving of cloud optical thickness

#### Retrieving at nadir for overhead Sun

 $\tau_{\text{retrieval}} < \tau_{\text{truth}}$  (e.g., Davies, 1978; Zuidema and Evans, 1998; Várnai and Marshak, 2003; Kato et. al., 2006; Kato and Marshak, 2009).

#### Retrieving at nadir for oblique Sun

 $\tau_{\text{retrieval}}$  increases with the increasing of SZA (e.g., Loeb and Davies, 1996 (30% error); Zuidema and Evans, 1998; Kato et al., 2006).

#### Retrieving in oblique views for oblique Sun

 $\tau_{\text{retrieval}}$  depends on the relative azimuth angle. But inconsistencies are found among literatures.

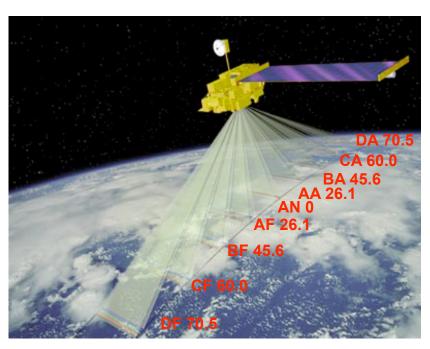
	Forward-scattering direction	Backward scattering direction
Kobayashi, 1993; Loeb et al., 1998; Loeb and Coakley, 1998		
Várnai and Marshak, 2007	_	_
Kato et al., 2006		

How often and to what degree the plane-parallel assumption is valid for any given application requirement for real clouds on a global scale? Is there a way to identify cloud heterogeneity conditions under which the valid application of the assumption occurs?

How will the 1-D retrieved t change with view-angle over the globe and to what extent?

## **Data fusion**

MISR MODIS





- cloud optical thickness
- cloud effective radii
- cloud phase

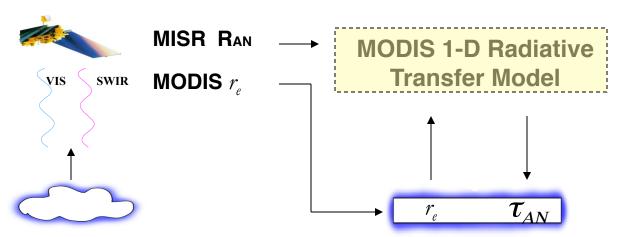
(http://www-misr.jpl.nasa.gov/mission/miview1.html)

fusion is done at the cloud top

# The applicability of the plane-parallel assumption through cloud view-angle consistency

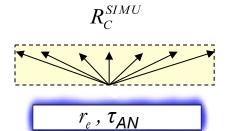
Extracted from an article by *Liang et al.* [2009, GRL] and a paper in preparation for submission titled "*A global view on the plane-parallel nature of oceanic water clouds*"

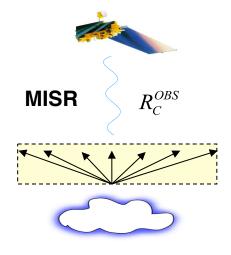
## Approach #1



#### Surface







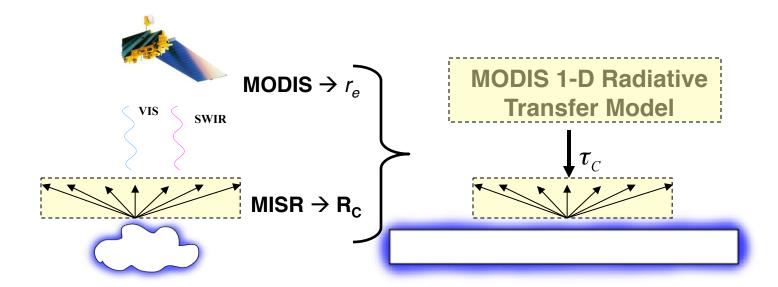
$$R_C^{SIMU}$$
  $R_C^{OBS}$   $\rightarrow$   $\delta_C = \frac{R_C^{OBS} - R_C^{SIMU}}{R_C^{OBS}}$ 

Across the 7 cameras

$$m_{BRF} = \sqrt{\frac{1}{7} \sum_{C=1}^{7} {\delta_C}^2} \times 100\%$$

**Surface** 

## Approach #2



#### Surface

Across 7 cameras, normalized variation of  $au_{\scriptscriptstyle C}$ 

$$m_{\tau} = \frac{1}{\langle \tau_C \rangle} \sqrt{\frac{1}{7 - 1} \sum_{C} \left( \tau_C - \langle \tau_C \rangle \right)^{\frac{\alpha}{2}}} \times 100\%$$

Can also use LWP or spherical albedo

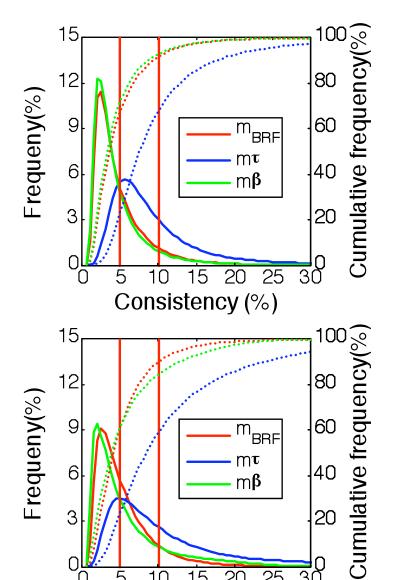
## **View-angle consistency passing rates**

#### **January**

Passing rate threshold	5%	10%
$m_{BRF}$	68	92
$m_{\scriptscriptstyle{ au}}$	23	68
$m_{\scriptscriptstyle{eta}}$	72	93

#### July

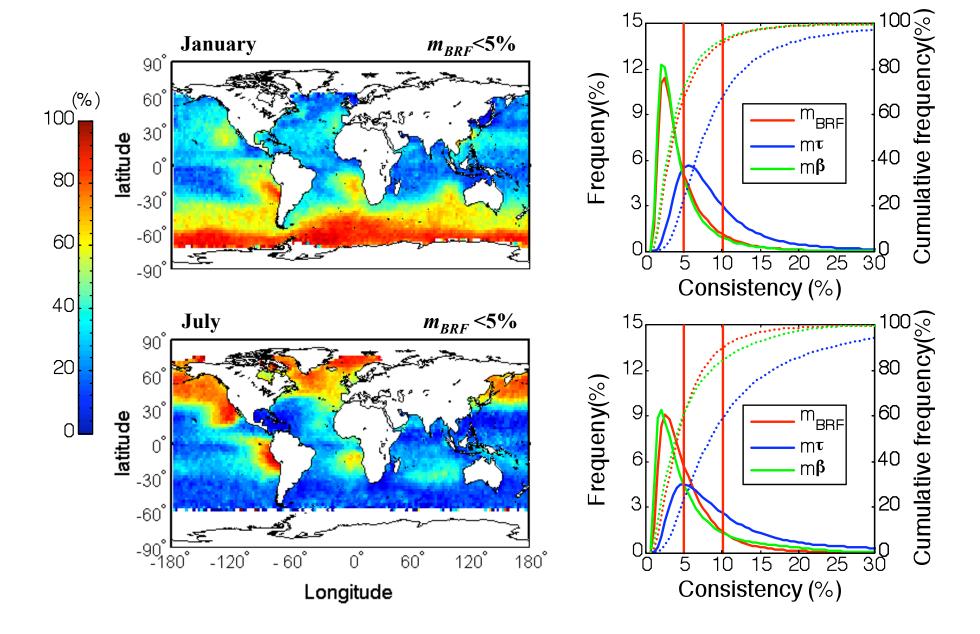
Passing rate threshold	5%	10%
$m_{BRF}$	61	90
$m_{\scriptscriptstyle{ au}}$	24	59
$m_{\scriptscriptstyle{eta}}$	61	85

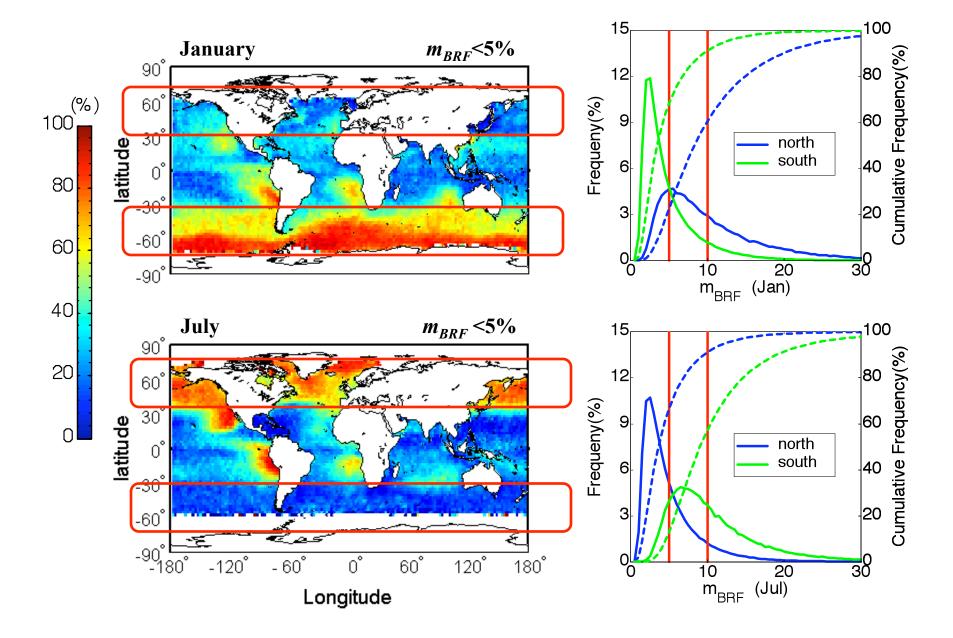


15

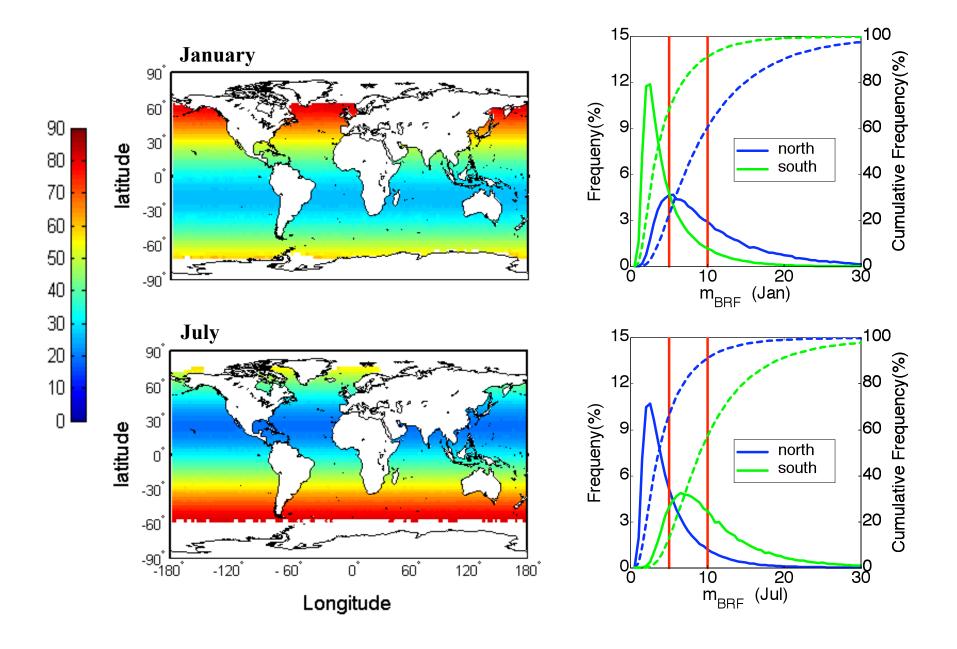
Consistency (%)

20





## Solar zenith angle dependence



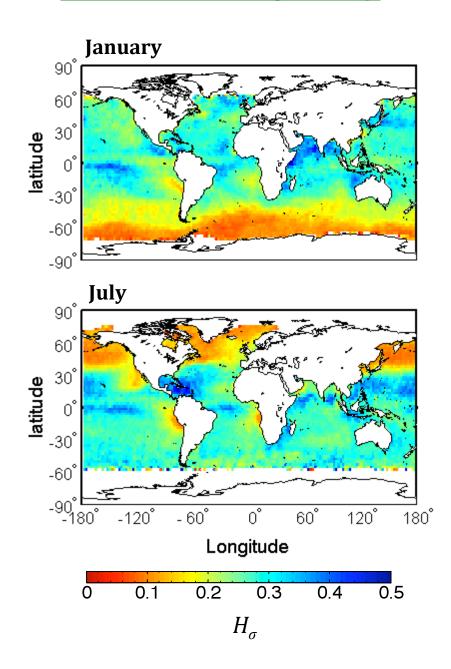
## **Angular consistency versus spatial heterogeneity**

$$H_{\sigma} = \frac{\sigma}{\overline{R}}$$

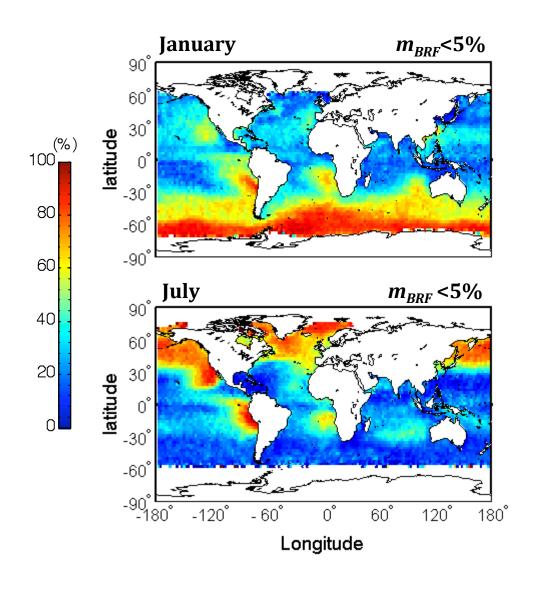
R = nadir red-channel BRF

 $\overline{R}$  = mean R over 3 x 3 km<sup>2</sup>

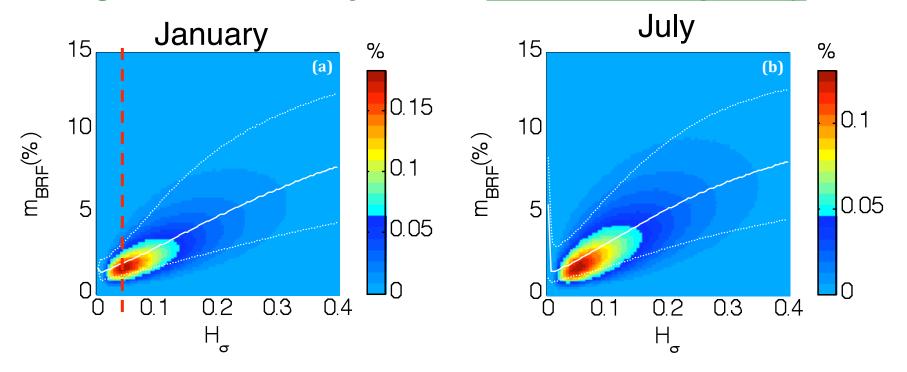
 $\sigma$  = standard deviation of R



## **Angular consistency versus spatial heterogeneity**



## Angular consistency versus spatial heterogeneity



Requiring 99% of retrievals to be angularly consistent in BRF to within 5% of their plane-parallel value, retrievals should be performed only on pixels where  $H\sigma < 0.036$ ;  $\sim 14.4\%$  of cloudy pixels met this criteria.

# Viewing zenith angle dependence of cloud optical thickness

extracted from a paper in preparation for submission titled "A global analysis on the view-angle dependence of plane-parallel oceanic water cloud optical thickness using data synergy from MISR and MODIS"

## Why MISR?

MISR can observe individual clouds at multi-angles near simultaneously. Examination can be done at the same time for the same SZA over the same scene.

- no latitudinal invariant assumption
- no seasonal invariant assumption
- consistent in cloudy scene identification
- small pixel size expansion

## **Data analysis**

- ☐ Data were binned into 2.5°-latitude bins to characterize regional differences.
- View-angle dependence of 1-D retrieved τwas examined for large SZAs and VZAs and for various degrees of cloud optical thicknesses and cloud heterogeneities.
- ☐ Comparisons to the past studies were made.

## Summary

- □ For the first time, we present the PDFs of cloud view-angle consistency to characterize the applicability of the plane-parallel assumption from globally representative observations. The regional distributions of view-angle consistency shows large spatial variation and SZA dependence.
- Relating the cloud view-angle consistency to the cloud spatial heterogeneity  $(H_{\sigma})$  allows us to identify, with a prescribed confidence level, which MODIS microphysical retrieval and associated retrieval uncertainty within the MISR swath meet the plane-parallel assumption to within any desired range in view-angle consistency.

## **Summary**

- Our analysis of view-angle dependence of 1-D retrieved τconfirmed many τ-VZA relationships found in previous studies, while revealing additional complexities in the τ-VZA relationship by examining the data at large SZAs and VZAs and stratifying the data by cloud optical thickness and spatial heterogeneity.
- □ To fully understand the complex τ-VZA relationships requires to consider
  - 1. various 3-D radiative transfer pathways,
  - 2. increased viewing of more cloud-sides with viewing obliquity,
  - 3. relative azimuth angle between sun and view,
  - 4. concavity change in reflectance-τ non-linear relationship with view-angle, and
  - 5. other non-3-D radiative transfer effects.

